



# Five year median follow-up data from a prospective, randomized, placebo-controlled, single-blinded, multicenter, phase IIb study evaluating the reduction of recurrences using HER2/neu peptide GP2 + GM-CSF vs. GM-CSF alone after adjuvant trastuzumab in HER2 positive women with operable breast cancer

Snehal S Patel, David B McWilliams, Marisa S Patel, Christine T Fischette, Jaye Thompson and F Joseph Daugherty.  
Greenwich LifeSciences, Stafford, TX

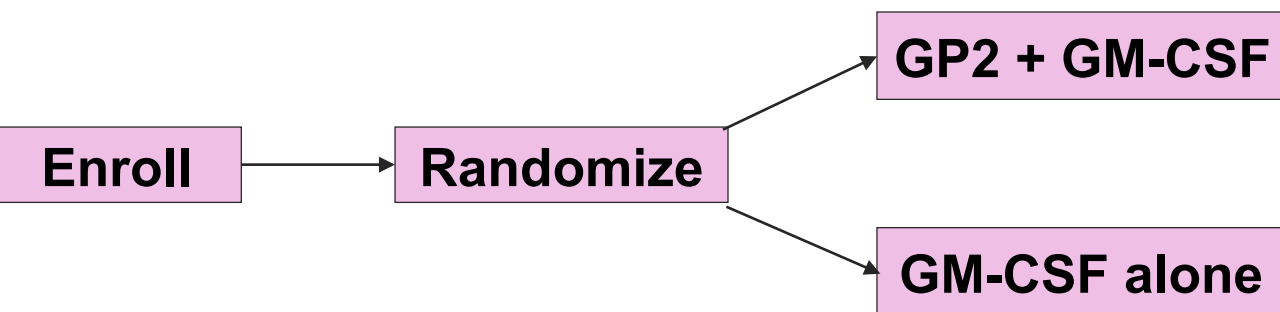
## BACKGROUND

The final analysis of the GP2 prospective, randomized, placebo-controlled, single-blinded, multicenter Phase IIb trial investigating GP2+GM-CSF administered in the adjuvant setting to node-positive and high-risk node-negative breast cancer patients with tumors expressing any degree of HER2 (immuno-histochemistry [IHC] 1-3+) (NCT00524277) is now complete with 5 year follow-up. The trial enrolled HLA-A02 patients randomized to receive GP2+GM-CSF versus GM-CSF alone. The trial's primary objective was to determine if treatment with GP2, a HER2-derived peptide, reduces recurrence rates. Three and 4 year interim analyses for this trial and 3 Phase I studies showing GP2 to be safe and immunogenic have been previously reported by Mittendorf et al.

## METHODS

Each enrolled and consented subject was randomized and scheduled to receive a total of 6 GP2+GM-CSF (500 mcg GP2:125 mcg GM-CSF) or placebo (125 mcg GM-CSF alone) intradermal injections every 3-4 weeks as part of the Primary Immunization Series (PIS) for the first 6 months and 4 GP2+GM-CSF booster or placebo intradermal injections every 6 months thereafter. Boosters were introduced during the trial, thus some patients did not receive all 4 boosters.

- Primary Objective**
- Determine if GP2+GM-CSF treatment reduces recurrence rates vs. GM-CSF alone
- Secondary Objective**
- Monitor immune response and correlate with clinical outcomes
  - Monitor for any unexpected toxicities



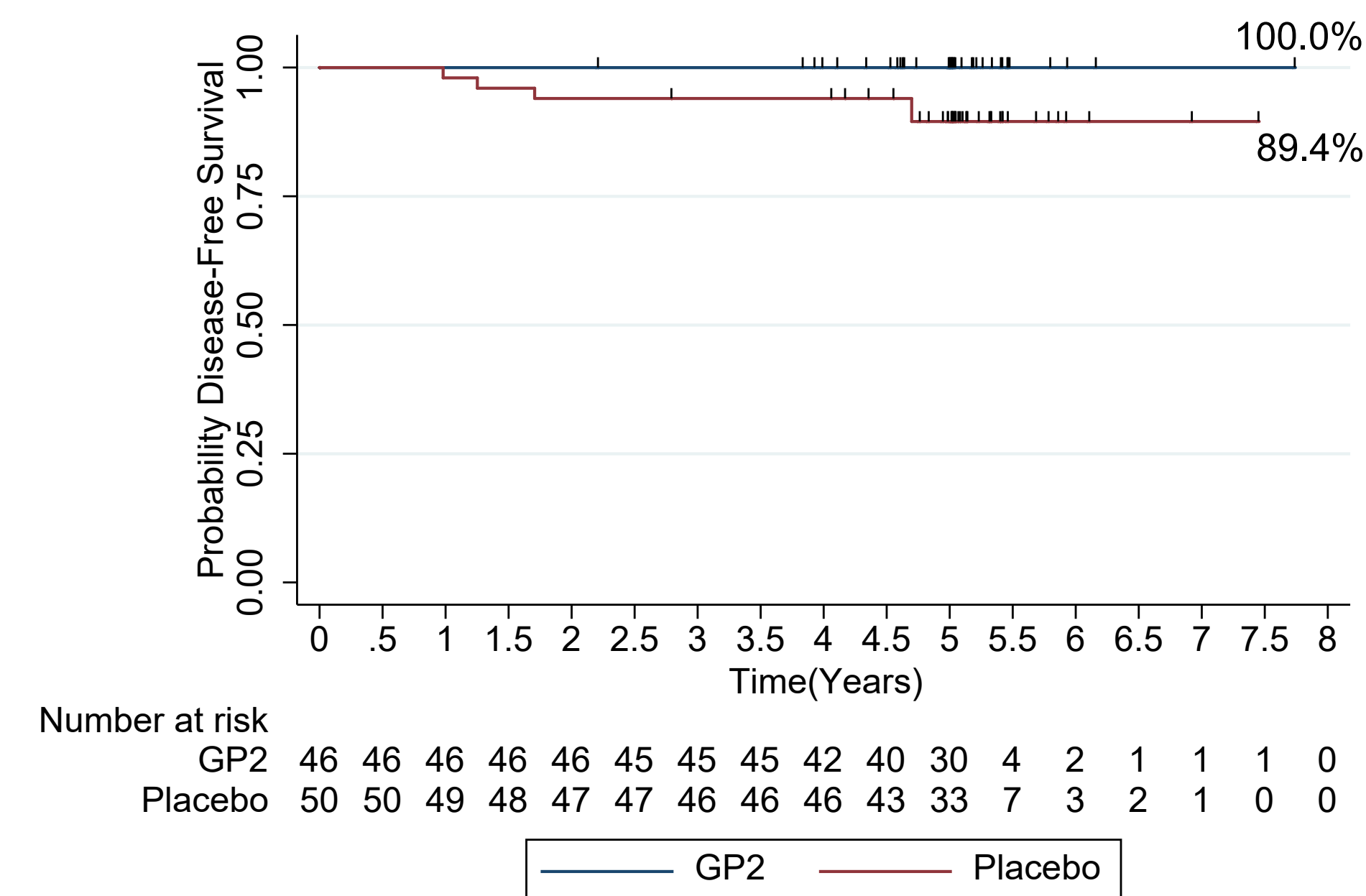
## RESULTS

This 168 patient (ITT: n=180) basket trial across 16 clinical sites explored 96 HER2 3+ patients, who received a standard course of trastuzumab after surgery and subsequently completed the full PIS or placebo, starting the PIS at median 17.1 months after surgery, and 72 HER2 1-2+ patients, who did not receive trastuzumab after surgery and subsequently completed the full PIS or placebo, starting the PIS at median 10.8 months after surgery. Subject disease characteristics are described in Table 1.

Since GP2 is synergistic with trastuzumab, and the HER2 1-2+ patients did not receive trastuzumab, it was prespecified to compare recurrence rates ITT versus per protocol in these 2 distinct, independently reported populations, excluding those patients who did not complete the PIS. Figure 1 depicts evidence that DFS is more likely in HER2 3+ GP2-treated subjects (p = 0.0338). Figure 2 provides DFS for the HER2 1-2+ group.

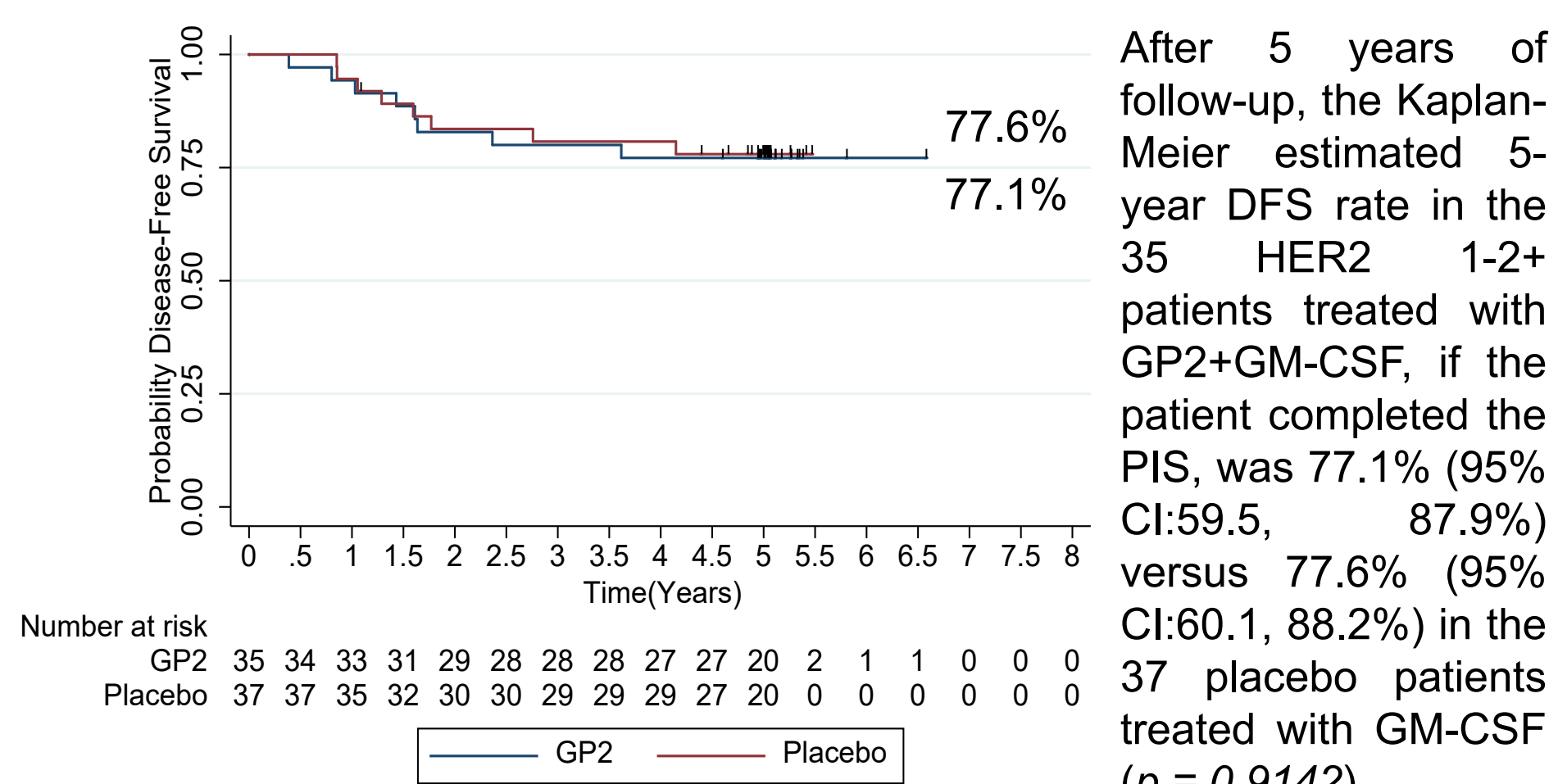
GP2 was shown to be well tolerated with no SAEs and elicited a potent immune response measured by local skin tests and immunological assays, which suggest peak immunity is reached at 6 months upon completion of the PIS.

Figure 1: HER2 3+ Subjects Who Completed PIS Following Trastuzumab



After 5 years of follow-up, the Kaplan-Meier estimated 5-year DFS rate in the 46 HER2 3+ patients treated with GP2+GM-CSF, if the patient completed the PIS, was 100% versus 89.4% (95% CI:76.2, 95.5%) in the 50 placebo patients treated with GM-CSF (p = 0.0338). As shown in Table 1, the treated versus placebo HER2 3+ patients were well-matched, where approximately 53% were stage T1, 41% were stages T2-T4, 55% were node positive, 58% were HR positive and received endocrine therapy, 77% received adjuvant radiation, 77% received adjuvant chemotherapy, and 89% received trastuzumab.

Figure 2: HER2 1-2+ Subjects Who Completed PIS without Trastuzumab



After 5 years of follow-up, the Kaplan-Meier estimated 5-year DFS rate in the 35 HER2 1-2+ patients treated with GP2+GM-CSF, if the patient completed the PIS, was 77.1% (95% CI:59.5, 87.9%) versus 77.6% (95% CI:60.1, 88.2%) in the 37 placebo patients treated with GM-CSF (p = 0.9142).

Table 1: Clinicopathologic Characteristics by Treatment Group for HER2 3+ and HER2 1-2+ Subjects Who Completed the PIS

Characteristic	HER2 3+			HER2 1-2+		
	GP2 (n = 46)	Placebo (n = 50)	p value <sup>1</sup>	GP2 (n = 35)	Placebo (n = 37)	p value <sup>1</sup>
<b>Age</b> (median, [min, max])	50.5 (26.9-72.3)	52.1 (33.7-72.1)	0.4011	50.8 (36.7-76.7)	49.9 (26.3-69.2)	0.8146
<b>T Stage</b>						
T0/is	2 (4.4%)	1 (2.0%)		0 (0.0%)	0 (0.0%)	
T1	23 (50.0%)	28 (56.0%)	0.874	14 (40.0%)	11 (29.7%)	0.654
T2	17 (37.0%)	14 (28.0%)		14 (40.0%)	17 (46.0%)	
T3	1 (2.2%)	2 (4.0%)		5 (14.3%)	8 (21.6%)	
T4	2 (4.4%)	3 (6.0%)		2 (5.7%)	1 (2.7%)	
Other	1 (2.2%)	2 (4.0%)		0 (0.0%)	0 (0.0%)	
<b>Node Status</b>						
Negative	22 (47.8%)	20 (40.0%)	0.496	12 (34.3%)	11 (29.7%)	0.679
Positive	24 (52.2%)	29 (58.0%)		23 (65.7%)	26 (70.3%)	
Not done	0 (0.0%)	1 (2.0%)		0 (0.0%)	0 (0.0%)	
<b>Histology</b>						
Ductal	44 (95.7%)	48 (96.0%)	0.996	33 (94.3%)	32 (86.5%)	0.415
Lobular	1 (2.2%)	1 (2.0%)		1 (2.9%)	1 (2.7%)	
Other	1 (2.2%)	1 (2.0%)		1 (2.9%)	4 (10.8%)	
<b>Grade</b>						
Moderate	15 (32.6%)	16 (32.0%)	0.795	16 (45.7%)	13 (35.1%)	0.143
Poorly Differentiated	29 (63.0%)	33 (66.0%)		17 (48.6%)	16 (43.2%)	
Well Differentiated	2 (4.4%)	1 (2.0%)		2 (5.7%)	8 (21.6%)	
<b>ER/PR Status</b>						
Negative	18 (39.1%)	22 (44.0%)	0.629	12 (34.3%)	8 (21.6%)	0.230
Positive	28 (60.9%)	28 (56.0%)		23 (65.7%)	29 (78.4%)	
<b>Surgery</b>						
Lumpectomy	21 (45.7%)	20 (40.0%)	0.362	13 (37.1%)	12 (32.4%)	0.675
Mastectomy	25 (54.4%)	28 (56.0%)		22 (62.9%)	25 (67.6%)	
Other	0 (0.0%)	2 (4.0%)		0 (0.0%)	0 (0.0%)	
<b>Radiation</b>						
Adjuvant	34 (73.9%)	40 (80.0%)	0.478	26 (74.3%)	31 (83.8%)	0.434
Neoadjuvant	0 (0.0%)	0 (0.0%)		1 (2.9%)	0 (0.0%)	
None	12 (26.1%)	10 (20.0%)		8 (22.9%)	6 (16.2%)	
<b>Chemotherapy</b>						
Adjuvant	37 (80.4%)	37 (74.0%)	0.753	25 (71.4%)	26 (70.3%)	0.123
Neoadjuvant	6 (13.0%)	7 (14.0%)		6 (17.1%)	8 (21.6%)	
Both	1 (2.2%)	1 (2.0%)		0 (0.0%)	1 (2.7%)	
None	2 (4.4%)	5 (10.0%)		4 (11.4%)	0 (0.0%)	
Not Specified	0 (0.0%)	0 (0.0%)		0 (0.0%)	2 (5.4%)	
<b>Endocrine Therapy</b>						
None	17 (37.0%)	21 (42.0%)	0.614	12 (34.3%)	11 (29.7%)	0.679
Yes	29 (63.0%)	29 (58.0%)		23 (65.7%)	26 (70.3%)	
<b>Trastuzumab Use</b>						
None	3 (6.5%)	7 (14.0%)	0.294	35 (100.0%)	35 (94.6%)	0.163
Yes	43 (93.5%)	42 (84.0%)		0 (0.0%)	2 (5.4%)	
Unknown	0 (0.0%)	1 (2.0%)		0 (0.0%)	0 (0.0%)	

<sup>1</sup> Continuous variables difference between treatment groups assessed by t-test. Categorical variables difference between treatment group distribution assessed by chi-square test.

## CONCLUSIONS

This study demonstrated that completion of the GP2+GM-CSF PIS safely elicited a potent immune response and reduced recurrence rates to 0% in HER2 3+ patients, who received a standard course of trastuzumab after surgery. A pivotal Phase III trial is being initiated to treat HER2 3+ patients in the neoadjuvant setting. GP2 also may be effective when used in parallel to trastuzumab based therapeutics or in combination with trastuzumab based therapeutics in HER2 1-2+ or other HER2 expressing cancers.

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